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ECOSYSTEM
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Applicants are respectfully enclosing the certified copy of the priority document for which priority is claimed for the above-identified application under 35 U.S.C. §119. Specifically, the document enclosed is:


<u>Document No.</u>	<u>Country</u>	<u>Date</u>
03014037.0	Europe	June 23, 2003

The Commissioner is hereby authorized to charge deposit account 02-1818 for any fees which are due and owing.

Respectfully submitted,

BELL, BOYD & LLOYD LLP

BY


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Dated: October 18, 2007



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The attached documents are exact copies of the European patent application described on the following page, as originally filed.

Les documents fixés à cette attestation sont conformes à la version initialement déposée de la demande de brevet européen spécifiée à la page suivante.

Patentanmeldung Nr. Patent application No. Demande de brevet n°

03014037.0

Der Präsident des Europäischen Patentamts;
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets
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R C van Dijk



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Bezeichnung der Erfindung/Title of the invention/Titre de l'invention:
(Falls die Bezeichnung der Erfindung nicht angegeben ist, siehe Beschreibung.
If no title is shown please refer to the description.
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Amino acid supplementation for an healthy microbiota ecosystem

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Amino acid supplementation for an healthy microbiota ecosystem

5 The present invention pertains to a nutritional composition for reconstituting an optimal healthy microbiota ecosystem in humans or animals. In particular, the present invention relates to an ingestible carrier containing specific amino acids designed to favor the growth of bacteria favorable to individuals health or for reducing the risk of developing deleterious events. The invention also pertains to the use of specific amino acids for reconstituting an optimal healthy microbiota ecosystem in humans or animals, in particular in infants, critically ill patients, in the case of chronic diseases
10 or any stresses impacting the gut and in elderly people.

Background of the invention

15 The gastrointestinal microbiota has been shown to play a number of vital roles in maintaining gastrointestinal tract functions and overall physiological health, playing a role in the control of bacterial overgrowth, bacterial translocation, nutrient availability, immune stimulation, septicemia as well as pathogenic development. The microbiota closely interacts with many components of the gut and is one primary actor involved in the gut barrier function. It also participates in the protection of
20 individuals from pathogens attack by adequately stimulating the immune system and interfering with pathogens virulence. For all those reasons, a well balanced microbiota is a guarantee for the maintenance of a healthy gut and intestinal barrier function.

25 The intestinal tract is colonized by microorganisms, such as Bacteroides, Lactobacilli, Bifidobacteria and also E. coli. The maintenance of a normal colonization of the gut by those specific strains (quantitative and qualitative) is essential in insuring a healthy gastrointestinal tract protection and function.

30 However, this important but vulnerable balance of the gastrointestinal ecosystem can be altered by many factors such as antibiotic treatment, drug, change in diet,

environmental factors such as psychological or physiological stress, age, surgery, and pathologic conditions (IBD) within the gastrointestinal tract and pathologic conditions.

5 An impaired balance of the ecosystem may results in impairing the gut barrier function, by reducing its protective action against pathogens attack and virulence and its beneficial stimulating action on individual's immune system. Such alterations will impair the gut barrier integrity and function and may result in increased bacterial translocation and allergy risks.

10 In the art several means have already been proposed to impact the bacterial balance of the gut. For example, CN 1181244 provides a health care oral liquid prepared through acclimating, culturing and amplifying thermophilic lactostreptococcus and acidophilic lactobacillus in defatted milk containing bone slurry. This liquid rich in activated calcium, vitamins and amino acids is used to regulate bacterial balance in
15 the intestine.

Also, in BE 694500, a dietetic alimentary product having adequate and non-residual nutritivity is designed for reducing the intestinal flora, consists of an aqueous emulsion of a water-soluble constituent (II), a fat-soluble constituent (III) and an
20 emulsifier; (II) being an aqueous solution of water-soluble vitamins, mineral salts, carbohydrates and a nitrogen source chosen from amino acids, amino acid derivatives, protein hydrolysates and mixtures thereof; and (III) being fat-soluble vitamins and a material chosen from molecularly defined fats, substitutes for
25 molecularly defined fats and fatty acids. This composition gives rise to a reduction in blood-ammonia levels and a reduction in hypertension caused by toxic metabolites (e.g. tyramine) of intestinal bacteria. Other benefits of a reduced intestinal microflora include the lower doses of antibiotics required for the treatment of infections.

30 However, there is still a need for a nutritional composition that is capable of promoting a well-balanced intestinal microbiota. Therefore, an object of the present invention is to provide improved means to promote the growth of the gut microbiota

and to promote or restore an optimal intestinal microbiota ecosystem in an individual beneficial for it.

Summary of the invention

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During the studies leading to the present invention the present inventors have realized that the above object may be solved by providing a specific amino acids supplementation to the individual.

10

In fact, it has been found that by supplementing the diet of the individual with specific amino acids, microbiota growth may be selectively stimulated. It can also modulate the equilibrium of the microbiota and restore healthy balance microflora.

15

Consequently, in a first aspect the present invention provides a nutritional composition for promoting an optimal microbiota ecosystem in humans or animals, which comprises at least an amino acid being added over the nutritional needs and in an amount efficient to favor an healthy equilibrium of the gut microbiota.

20

In a second aspect, the invention provides use of at least one amino acid being added over the normal nutritional needs, for the preparation of a food composition or medicament for favoring the growth of bacterial microbiota and promoting an optimal balance of gut ecosystem, which is favorable to individuals health and thus reducing the risk of developping deleterious events.

25

In a third aspect, the invention provides use of at least one amino acid according to an embodiment of the invention for the preparation of a food composition or medicament for reinforcing intestinal barrier and immune defenses.

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In a fourth aspect, the invention provides use of at least one amino acid according to an embodiment of the invention for the preparation of a food composition or medicament for reducing risks of allergy, in particular in infants.

In a last aspect the invention provides methods of promoting an optimal balance

microbiota, modulating qualitatively and quantitatively the microbiota, reinforcing intestinal barrier and immune defenses, or reducing risks of allergy which comprise administering an effective amount of at least one amino acid according to an embodiment of the invention.

5 The composition according to the present invention, is particularly designed for critically ill patients, in the case of chronic diseases impacting the gut and in elderly people, infants or pets that present fragile ecosystem, to restore or maintain the integrity of their gut barrier. In fact, by reinforcing the equilibrium of the microbiota,
10 it reinforces the intestinal barrier by interfering with pathogen virulence (competition with pathogens for adhesion sites, aggregation of pathogens, counteracting pathogen virulence)

Another advantage of the present invention is that it provides a specific amino acid
15 composition modulating the equilibrium of the microbiota, which is disturbed in case of psychological, physiological, or environmental stress and therefore restores a healthy microbiota profile.

Detailed description of the invention

20 In the following description, the term microbiota means all the bacterial populations present in the digestive tract of the individual. Also, the term "supplementation" means that the amino acids are given in a proportion greater than the proportion corresponding to the requirement of a healthy man (for threonine which is an
25 indispensable amino acid) or greater than the proportion corresponding to proteins usually used in products for non indispensable amino acids such as cysteine, serine and proline. Proteins usually used are for example milk proteins in product intended for human and vegetables and meat proteins for products intended for pets.

30 According to a first aspect, the composition according to the invention may be supplemented with at least one amino acids selected in the group consisting of

hydroxyl amino acids, sulfur-containing amino acids or heterocyclic amino acids, for example.

5 In a preferred embodiment, the amino acid is threonine, serine, cysteine or proline or their derivatives, for example.

10 The amount of the amino acids to be used in the composition will vary depending upon factors such as the individual's condition, weight, the age, and whether the composition is the sole source of nutrition. However, as a source of hydroxyl amino acids, threonine may be added in an amount which implies a threonine intake in the range of 0.04 to 0.20 g/kg body weight/day, for example. In the same way, Serine may be added in an amount which imply a serine intake in the range of 0.07 to 0.35 g/kg body weight/day; sulfur-containing amino acids such as Cysteine may be added in an amount which imply a cysteine intake in the range of 0.03 to 0.15 g/kg body weight/day; and heterocyclic amino acids such as proline can be added in an amount which imply a proline intake in the range of 0.07 to 0.3 g/kg body weight/day, for example.

20 Those specific amino acids may be in the form of free amino acids or amino acids hydrolysates of different source of animal or plant proteins. They can be derived from a protein source enriched in those amino acids, for example whey proteins. The protein source may be in the form of intact proteins, hydrolyzed or partially hydrolyzed proteins or a mixture of intact and hydrolyzed proteins leading to peptides of different size. The protein source may also be enriched in form of synthetic peptides. It may also be enriched with free amino acids or entire proteins from natural source or synthetically peptides, or combinations thereof.

25 Such amino acids are conveniently administered in form of a product acceptable to the consumer, such as an ingestible carrier or support, respectively. Examples for such carriers or supports are a pharmaceutical, galenic or a food composition. Non-limiting examples for such compositions are milk, yogurt, curd, cheese, fermented

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milks, milk based fermented products, ice-creams, fermented cereal based products, milk based powders, infant formula, pet food, tablets, liquid bacterial suspensions, dried oral supplement, wet oral supplement, dry or wet tube feeding.

5 Accordingly, in a preferred embodiment, the invention provides a human food product that may be in the form of a nutritional formula, an infant formula, milk-based products, dairy products, cereal-based products, for example. To prepare such a food product or composition, the amino acid supplementation as described above can be incorporated into a food, such as cereal powder, milk powder, a yogurt, during its
10 manufacture, for example.

If a nutritional formula is prepared, it may comprise, apart from the amino acid supplementation as mentioned above, a source of protein, a source of fat and a source of carbohydrate. Dietary proteins are preferably used as a source of protein. The
15 dietary proteins may be any suitable dietary protein; for example animal proteins (such as milk proteins, meat proteins and egg proteins), vegetable or plant proteins (such as soy, wheat, rice or pea proteins. Milk proteins such as casein, whey proteins and soy proteins are particularly preferred. The composition may also contain a source of carbohydrates and a source of fat. The fat source preferably provides about
20 5% to about 55% of the energy of the nutritional formula. The lipids making up the fat source may be any suitable fat or fat mixture. Vegetable fats are particularly suitable; for example soy oil, palm oil, coconut oil, safflower oil, sunflower oil, corn oil, canola oil, lecithins, and the like. Animal fats such as milk fats may also be added if desired. The carbohydrate source preferably provides about 40% to about
25 80% of the energy of the nutritional formula. Any suitable carbohydrates may be used, for example sucrose, lactose, glucose, fructose, corn syrup solids, and maltodextrins, and mixtures thereof. Dietary fiber may also be added if desired. Numerous types of non-digestible dietary fiber are available. Suitable sources of dietary fiber, among others, may include soy, pea, oat, pectin, guar gum, and gum
30 Arabic. If used, the dietary fiber preferably comprises up to about 5% of the energy of the nutritional formula. Suitable vitamins and minerals may be included in the

nutritional formula in the usual manner to meet the appropriate guidelines. One or more food grade emulsifiers may be incorporated into the nutritional formula if desired; for example diacetyl tartaric acid esters of mono-diglycerides, lecithin and mono- and di-glycerides. Similarly suitable salts and stabilisers may be included.

5

The nutritional formula is preferably enterally administrable; for example in the form of a powder, a liquid concentrate, or a ready-to-drink beverage.

10

The nutritional formula may be prepared in any suitable manner. For example, the nutritional formula may be prepared by blending together the source of dietary protein, the carbohydrate source, and the fat source in appropriate proportions and the supplementation in amino acids according to the invention. If used, the emulsifiers may be included in the blend. The vitamins and minerals may be added at this point but are usually added later to avoid thermal degradation. Any lipophilic vitamins, emulsifiers and the like may be dissolved into the fat source prior to blending. Water, preferably water which has been subjected to reverse osmosis, may then be mixed in to form a liquid mixture. The temperature of the water is conveniently about 50°C to about 80°C to aid dispersal of the ingredients. Commercially available liquefiers may be used to form the liquid mixture. The liquid mixture is then homogenized; for example in two stages.

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If it is desired to produce a powdered nutritional formula, the homogenized mixture is transferred to a suitable drying apparatus such as a spray drier or freeze drier and converted to powder. The powder should have moisture content of less than about 5% by weight.

25

If it is desired to produce a liquid formula, the homogenized mixture is preferably aseptically filled into suitable containers as known in the art.

30

In another embodiment, a usual food product may be enriched with the specific amino acids according to the present invention. For example, a fermented milk,

yogurt, a fresh cheese, a renneted milk, a confectionery bar, breakfast cereal flakes or bars, drinks, milk powders, soy-based products, non-milk fermented products or nutritional supplements for clinical nutrition.

5 In a further embodiment, a nutritionally complete pet food composition can be prepared. It may be in powdered, dried form, semi-moist or a wet, chilled or shelf stable pet food product. It can also be dietary supplements for pets or pharmaceutical compositions. These pet foods may be produced as is conventional. The amount of the pet food to be consumed by the pet to obtain a beneficial effect will depend upon
10 the size of the pet, the type of pet, and age of the pet. However an amount of the pet food to provide a daily amount of about 0.9 g Threonine per 100g dry matter would usually be adequate, for example.

15 An experiment showing that such a nutritional composition restores the gut microbiota ecosystem is presented in example 1. The properties of said amino acids have then been assessed by simple experiments, which show their impact on the intestinal microbiota. The amino acid supplementation and the above products may consequently be utilized for stimulating the growth of microbiota, modulating the microbiota and restoring a healthy balance microbiota ecosystem in the gut. It is also
20 used to reinforce the intestinal barrier and stimulate the immune defenses. Thus, it helps to support the well being of individuals and/or the treatment and/or the prophylaxis of diseases.

25 The following non-limiting examples further illustrate the invention. They are preceded by a brief description of the figure.

Figure 1 shows the effect of amino acid supplementation on count of rat's fecal Enterobacteria, Bacteroides, Enterococci, Lactobacilli and Bifidobacteria expressed in cfu/g (log).

30

Example 1: Effect of specific amino acid supplementation on the intestinal microbiota

5 In order to test the impact of specific amino acids towards the intestinal microbiota integrity, an in vivo experiment has been set up, wherein mixtures of four different amino acids were added as supplements in the normal diet of rats exhibiting an altered intestinal microbiota.

10 ***Material and methods***

An imbalance in the intestinal microbiota was obtained using an animal model (DSS-treated rats) exhibiting common clinical and histopathological features with the human ulcerative colitis pathology (Gaudio et al., 1999).

15 The animal experiment was conducted as follows: Male Sprague-Dawley rats (n=32) aged 10 months were randomly distributed into 4 experimental groups (described below). During an 8 days acclimatization period, rats had free access to tap water and received a control diet or diets supplemented in amino acids as described below. After this adaptation period, Dextran Sulfate Sodium (DSS)-treated rats received 5%
20 DSS (w/v) in their drinking water for the first 9 days of the experiment and 2% DSS for the following 18 days to induce a chronic colitis.

Groups and diets were as follows:

- 25 i) Group "control": rats were fed ad libitum with a fish-based control diet (12% fish-based proteins, 8.2% fat). The control diet was balanced to meet all rat amino acid (AA) requirements. Its threonine, cysteine, proline and serine content were the following: Threonine: 5.7g/ kg of diet dry matter; Cysteine: 1.2g/ kg of diet dry matter; Proline: 4.8g/ kg of diet dry matter and Serine: 4.7g/kg diet dry matter.
- 30 ii) Group "DSS": rats were fed ad libitum with the control diet. They received DSS (free access) dissolved in their drinking water as previously described.
- iii) Group "DSS + AA dose1": rats were fed ad libitum with the control diet

supplemented in Threonine (1.8-fold the normal requirements, supplementation with 5g threonine/Kg diet dry matter), Cysteine (1.7-fold the normal requirements, supplementation with 4g cysteine/Kg diet dry matter), Proline (1.9-fold the normal composition of the diet, supplementation with 5g proline/Kg diet dry matter) and Serine (1.9-fold the normal composition of the diet, supplementation with 5g serine/Kg diet dry matter).

iv) Group "DSS + AA dose2": rats were fed ad libitum with the control diet supplemented in Threonine (3.6-fold the normal requirements, supplementation with 15g threonine/Kg diet dry matter), Cysteine (2.8-fold the normal requirements, supplementation with 7.2g cysteine/Kg diet dry matter), Proline (3.9-fold the normal composition of the diet, supplementation with 15g proline/Kg diet dry matter) and Serine (2.9-fold the normal composition of the diet, supplementation with 10g serine/Kg diet dry matter).

All groups of rats received isonitrogenous diets.

At the end of the experiment, fecal samples were collected from animals with a sterile spoon into sterile tubes, frozen (liquid nitrogen) in 10% glycerol and then stored at -80°C until analysis. The fecal microbiota was analyzed quantitatively for Enterobacteria, Bacteroides, Enterococci, Lactobacilli and Bifidobacteria species according to standard methods. Bacteria were counted using selective or semi-selective media. The counts were expressed as log (base 10) cfu/g feces with a lower detection limit of 3.30 log cfu/g and 5.50 log cfu/g of feces for Bacteroides.

Data are expressed as mean \pm SEM. One-way analysis of variance and Duncan's Multiple-Comparison Test were used to determine differences in gut microbiota among the groups. A difference was considered significant at $p < 0.05$.

It will be appreciated that the skilled person may well examine other amino acids for their aptitude to impact the bacterial microbiota, by subjecting them to the conditions as detailed above or others.

Results

As shown in Figure 1, the fecal microbiota was altered by the DSS treatment. Indeed, the Enterobacteria, Enterococci and Lactobacilli counts were significantly decreased in DSS-treated rats compared to controls while the Bacteroides counts were increased.

- 5 The amino acid supplementation exhibited significant effects on the count of several bacterial species. Part of the intestinal microbiota affected by the DSS treatment is restored with an amino acid supplementation. This study suggests that a supplementation in these specific amino acids may be beneficial for sick individuals, for example in the case of chronic or acute inflammation. This can be an advantage
10 for improvement of clinical nutrition products.

Example 2: Nutritional formula

- 15 A nutritional composition for adult is prepared, and which contains for 100 g of powder: 15 % of protein hydrolysate, 25 % of fats, 55 % carbohydrates (including maltodextrin 37 %, starch 6 %, sucrose 12 %), traces of vitamins and oligoelements to meet daily requirements, 2 % minerals and 3 % moisture and 0.75 g Threonine, 1.35 g Serine, 1.2 g Proline and 0.45 g Cysteine.
13 g of this powder is mixed in 100 ml of water. The obtained formula is particularly
20 intended for restoring or promoting intestinal microbiota in adults.

Example 3: Nutritional formula

- 25 A nutritional composition for critically ill patients, in the case of chronic diseases impacting the gut and in elderly people that present fragile ecosystem, is prepared as in example 1, but with a higher supplementation in the different amino acids. For 100g of powder, this nutritional composition contains 1.2 g Threonine, 2.1g Serine, 1.8 g Proline and 0.9 g Cysteine.

- 30 **Example 4: Infant formula**

The formula has the following composition (per 100 g of powder): total fat 27.7 g, total protein 9.5 g, total carbohydrates 57.9 g, Threonine 0.50 g, Cystein 0.22 g, Serine 0.49 g, Proline 0.72 g, Sodium 120 mg, Potassium 460 mg, Chloride 330 mg, Phosphorus 160 mg, Calcium 320 mg, Magnesium 36 mg, Manganese 40 µg, Vitamin A 1800 IU, Vitamin D 310 IU, Vitamin E 6.2 IU, Vitamin C 52 mg, Vitamin K1 42 µg, Vitamin B1 0.36 mg, Vitamin B2 0.78 mg, Vitamin B6 0.39 mg, Niacin 5.2 mg, Folic acid 47 µg, Pantothenic acid 2.3 mg, Vitamin B12 1.6 µg, Biotin 11 µg, Choline 52 mg, Inositol 26 mg, Taurine 42 mg, Carnitine 8.3 mg, Iron 3.1 mg, Iodine 78 µg, Copper 0.31 mg and Zinc 3.9 mg.

The formula is reconstituted by mixing 129 g of powder to 900 mL of water to give 1 L of ready-to-drink preparation. The composition given above can vary to accommodate for local directives concerning the amounts of specific ingredients. Other trace elements (e.g. selenium, chromium, molybdenum, fluoride) may be added in adequate amount according to age.

Claims

- 5 1. A nutritional composition intended for restoring or promoting an healthy and optimal microbiota ecosystem in humans or animals, which comprises at least one amino acid being added over the nutritional needs of the individual, in an amount efficient to favor the growth and the balance of bacterial microbiota.
- 10 2. The composition according to claim 1, which is formulated for human or companion animal consumption and/or administration, such as a pharmaceutical, a galenic, a nutritional, a food or petfood product or an infant formula.
- 15 3. The composition according to claim 1 or 2, wherein the amino acid is selected in the group consisting of hydroxyl amino acids, sulfur-containing amino acids or heterocyclic amino acids, in particular Threonine, Serine, Proline or Cysteine, or their derivatives.
- 20 4. The composition according to any of preceding claims, wherein the amino acid is in the form of free amino acids or amino acids hydrolysates of different source of animal or plant proteins, or protein source enriched in form of synthetic peptides, or with free amino acids or entire proteins from natural source or synthetically peptides, or combinations thereof.
- 25 5. The composition according to any of preceding claims, which comprises Serine in an amount of about 0.07 to 0.35 g/kg body weight/day; or Proline in an amount of about 0.07 to 0.3 g/kg body weight/day, or Threonine in an amount of about 0.04 to 0.20 g/kg body weight/day or Cysteine in an amount of about 0.03 to 0.15 g/kg body weight/day, or their mixtures thereof.
- 30 6. Use of at least one amino acid or a mixture of amino acids in an amount efficient to favor the growth of bacterial microbiota, for the preparation of a composition or medicament intended for restoring or promoting a healthy and optimal

microbiota ecosystem in humans or pets.

- 5 7. Use of at least one amino acid or a mixture of amino acids in an amount efficient to favor the growth of bacterial microbiota for the preparation of a composition or medicament intended for restoring or maintaining the integrity of gut barrier in frail population, such as infant, elderly or pet that present fragile ecosystem.
- 10 8. Use of at least one amino acid or a mixture of amino acids in an amount efficient to favor the growth of bacterial microbiota for the preparation of a composition or medicament intended for stimulating the immune defenses and reduce allergy in individuals, such as infant, elderly or pet that present fragile ecosystem.
- 15 9. A method for restoring or promoting an healthy and optimal microbiota ecosystem in humans or pets, which comprises administering to the individual a nutritional composition comprising at least one amino acid in an amount efficient to favor the growth and balance of bacterial microbiota and to reduce pathogens proliferation and risks of translocations.
- 20 10. A method restoring or maintaining the integrity of gut barrier in frail population, such as infant, elderly or pet that present fragile ecosystem, which comprises administering to the individual a nutritional composition comprising at least one amino acid in an amount efficient to favor the growth of bacterial microbiota.
- 25 11. A method for stimulating the immune defenses and/or reduce allergy in humans or pets, which comprises administering to the individual a nutritional composition comprising at least one amino acid in an amount efficient to favor the growth of bacterial microbiota.

Abstract**Amino acid supplementation for a healthy microbiota ecosystem**

- 5 The present invention pertains to a nutritional composition for reconstituting an optimal healthy microbiota ecosystem in humans or animals. In particular, the present invention relates to an ingestible carrier containing specific amino acids designed to favor the growth of bacteria favorable to individuals health or for reducing the risk of developing deleterious events. The invention also pertains to the
- 10 use of specific amino acids for reconstituting an optimal healthy microbiota ecosystem in humans or animals, in particular in infants, critically ill patients, in the case of chronic diseases or any stresses impacting the gut and in elderly people.

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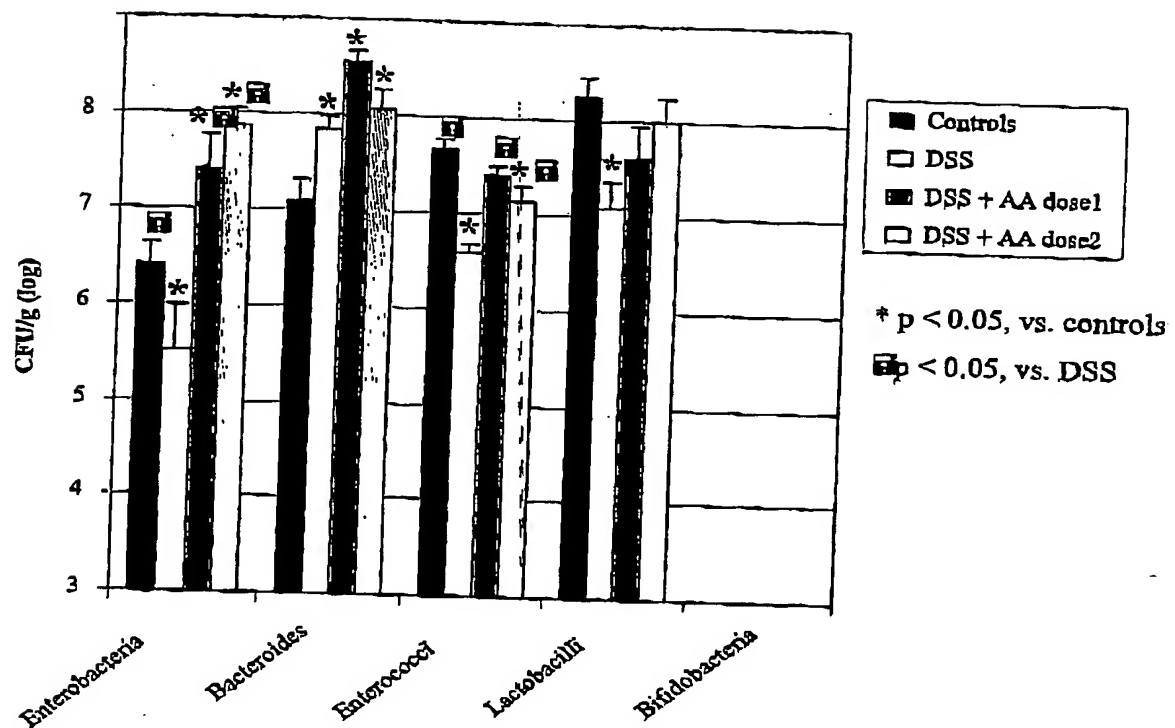


FIGURE 1